

Cosmetology Licensure COMPACT

Cosmetology Licensure Compact Commission

Administrative Policy: Code of Conduct

I. Introduction

As a joint government entity created by the enactment of the Cosmetology Licensure Compact (Compact) by its member states, the Cosmetology Licensure Compact Commission (Commission) affords great deference to its member states in selecting the Cosmetology Licensure Compact Delegates (Delegates) to represent them. The diverse personal, educational, and professional backgrounds of Delegates are one of the Commission's greatest assets. However, this diversity means that some Delegates may have personal pecuniary interests which are affected by the outcomes of management and other decisions which must be made concerning the administration of the Compact Commission at times. This policy was implemented to ensure transparency, accountability, and integrity in the Commission's decision-making process.

II. Code of Conduct

Delegates and their Authorized Designees appointed by the states are responsible for upholding the integrity of the Commission and its member states. No Delegate or Authorized Designee shall engage in criminal or unethical conduct prejudicial to the Commission, any other Delegate, or any other state.

No Delegate or Authorized Designee shall vote or participate in debate upon a matter in which they have a direct or indirect financial or other personal interest resulting in a personal benefit that conflicts with the fair and impartial conduct of official duties. The Executive Committee shall have the sole authority to consider allegations of breaches of this code, including appeals from Delegates alleged to be in violation herewith. In the case of a breach, the Executive Committee may direct the Chair to notify the appropriate appointing authority in the Delegate's home state.

III. Definition

A Conflict of Interest is a set of circumstances that creates a risk that professional judgement or actions regarding a primary interest will be unduly influenced by a secondary personal interest economic or otherwise.

IV. Disclosure of Conflicts of Interest

1. All Delegates and Authorized Designees are required to complete a Code of Conduct form. The form constitutes an agreement by each Delegate and Authorized Designee to disclose personal interests that may impact the ability of a Delegate or Authorized Designee to

conduct business in a “fair and impartial” manner and that the Delegate or Authorized Designee will recuse from debating or voting on such a matter in fulfilling the duties of a Cosmetology Licensure Compact Delegate or Authorized Designee.

2. Completed Code of Conduct forms must be submitted as soon as possible after a state has appointed a Delegate or Authorized Designee. A Delegate or Authorized Designee cannot vote at a meeting until this form is completed. For the first year of implementation of this policy, all Delegates and Authorized Designees must complete the form prior to inaugural meeting.
3. Completed Code of Conduct forms are public documents which may be disclosed by the Commission upon request.

V. Delegate and Authorized Designee Recusal

Prior to the discussion of an issue in which a Delegate or Authorized Designee believes a conflict of interest may exist, the Delegate or Authorized Designee must announce to the Committee or Commission meeting that they are recusing themselves from participating in the caucus and voting. Once recused, the Delegate or Authorized Designee will not be able to participate in the debate or the vote concerning the matter which led to the recusal.

VI. Concerns over Financial Disclosure and Conflict of Interest

Concerns over conflicts of interest should be brought to the attention of the Chair of the Commission for consideration by the Executive Committee. The Executive Committee, in consultation with legal counsel, will determine if any of the provisions of the Commission’s Policy on Conflicts of Interest have been violated and decide the appropriate action, if any.

VII. Notification of Home State Appointing Authority

If any of the following conditions are met, the Commission may notify the appropriate appointing authority in the home state of the Delegate or Authorized Designee regarding its concern about the ability of the Delegate or Authorized Designee to perform his/her duties in a fair and impartial manner.

1. The Delegate or Authorized Designee has a substantial financial conflict of interest in the outcome of the matter, such as the awarding of a contract for services or employment;
2. The Delegate or Authorized Designee has a substantial positional conflict of interest in the outcome of the matter, such as a leadership position for another organization whose purpose is contrary to that of the Commission;
3. The Delegate or Authorized Designee has been found in violation of criminal or civil state or federal statute or regulation;
4. The Executive Committee determines that a Delegate or Authorized Designee is not performing their duties consistent with this policy.

Code of Conduct Form

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I _____ for the State of _____ hereby swear or affirm that I have read and understand the Cosmetology Licensure Compact Commission Code of Conduct and will comply with said policy in all matters pertaining to my duties and obligations as a Delegate, Authorized Designee, or Officer of the Commission, including my obligation to recuse myself from consideration, debate or voting on any matter that conflicts with the fair and impartial conduct of my official duties.

X _____

Dated this __ day of _____, 20__.